



Visualization and quantification of anisotropic effects on the ^1H NMR spectra of 1,3-oxazino[4,3-*a*]isoquinolines—indirect estimates of steric compression

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ABSTRACT

The anisotropic effects of the phenyl, α - and β -naphthyl moieties in four series of 1,3-oxazino[4,3-*a*]isoquinolines on the ^1H chemical shifts of the isoquinoline protons were calculated by employing the Nucleus Independent Chemical Shift (NICS) concept and visualized as anisotropic cones by a through-space NMR shielding grid. The signs and extents of these spatial effects on the ^1H chemical shifts of the isoquinoline protons were compared with the experimental ^1H NMR spectra. The differences between the experimental $\delta(^1\text{H})/\text{ppm}$ values and the calculated anisotropic effects of the aromatic moieties are discussed in terms of the steric compression that occurs in the compounds studied.

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1. Introduction

The shielding constant at or above the center of aromatic ring systems (a nucleus independent chemical shift—NICS)¹ can be used to characterize the aromaticity of organic compounds. NICS values on a grid around molecules can be calculated to locate the diatropic and paratropic regions of the molecules involved.² These through-space NMR shieldings (TSNMRSs) have been visualized³ as isochemical-shielding surfaces (ICSSs) and employed to quantify the anisotropic effects of functional groups (to determine the stereochemistry of nuclei proximal to the functional group),^{3–18} to separate the anisotropic effect of the C=C double bond from the influence of steric hindrance on the same protons,¹⁹ and to visualize and quantify planar^{20,21} and spherical (*anti*)aromaticity.^{22–24}

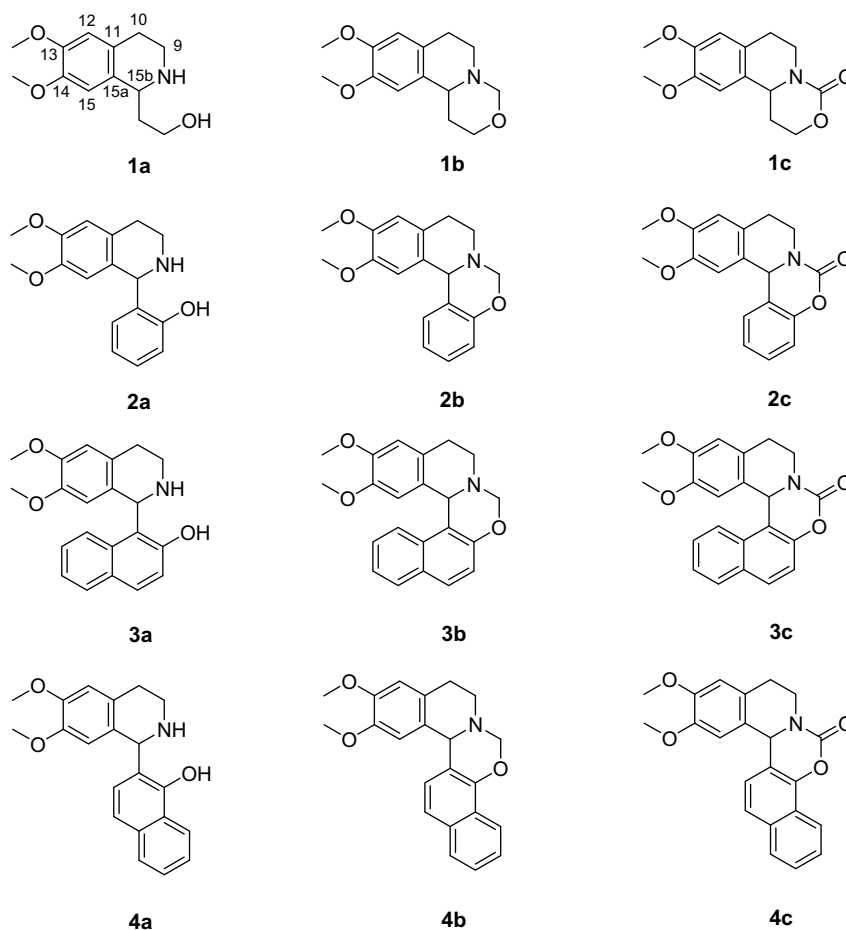
Similar approaches to estimate TSNMRSs have been published by Alkorta and Elguero,²⁵ and Martin et al.²⁶ In both cases, shieldings of similar size and direction, comparable with the results of our model and the classical model of Bovey and Johnson²⁷ and Haigh and Mallion,^{28,29} were obtained.

Of significant note though, there have been some recent developments of the NICS index³⁰ showing that not the average NICS but only the NICS(1)_{zz} component could rigorously be used to quantify aromaticity³¹ and average NICS have proven to be not generally suitable for the quantitative evaluation of aromaticity.^{32–34} For example, NICS analysis was shown to lead to an incorrect prediction of aromaticity,³³ e.g., for cyclopropane³⁴ and the cyclopropenyl anion.³⁵

During the conformational analysis of several series of 1,3-oxazino[4,3-*a*]isoquinolines **1–4** (cf. Scheme 1),^{36,37} the proton chemical shifts of the isoquinoline moiety proved to be strongly dependent on the oxazine part of the molecules. Besides the conformations of these compounds, therefore, both the anisotropic effect of the phenyl group (in **2**), and the α - (in **3**) and β -naphthyl group (in **4**) and the steric compression (the stronger the steric hindrance, the more the protons involved are shifted to low field) came into question. As we have considerable experience in employing TSNMRS grids for the visualization and quantification of anisotropic effects,^{3–18} and hence separating these effects from competitive steric compression effects on the same proton chemical shifts,^{10,19} our earlier approach³ was applied and the anisotropic effects of the above aromatic moieties on the isoquinoline protons were quantified. A critical comparison of the experimental ^1H chemical shift variations in **1–4** with the anisotropic effects of the aromatic moieties in **2–4** should answer the given question.

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Scheme 1. Compounds studied. For a better comparison, the atoms in **1a–c**, **2a–c**, **3a**, and **4a** are denoted by using the numbering of the naphth[1,2-*e*]- and naphth[2,1-*e*]-[1,3]oxazino[4,3-*a*]isoquinoline ring systems (**3b,c** and **4b,c**).

2. Results and discussion

2.1. Synthesis and conformational analysis of the compounds studied

The synthesis and conformational analysis of naphth[1,2-*e*]-(**3b,c**) and naphth[2,1-*e*][1,3]oxazino[4,3-*a*]isoquinolines (**4b,c**), and the preparation of 1-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)-2-naphthol (**3a**) and 2-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)-1-naphthol (**4a**) were reported previously.³⁷ 2-(6,7-Dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)ethanol (**1a**) and 2-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)phenol (**2a**) were synthesized according to literature procedures.^{38,39} During the conformational analysis of **2b,c**, as expected, the same minimum-energy structures as obtained for **4b,c**³⁷ were computed: a twisted chair conformer for **2b** and a twisted-boat conformation for **2c** (cf. Fig. 1), in agreement with the experimental findings.⁴⁰

Experimental and computational conformational analysis of **1a–4a**, starting materials for **2b,c–4b,c**, has not been carried out earlier. The results of the theoretical calculations were unequivocal: the same minimum energy structure was obtained for each derivative (given in Fig. 2): the 15*S*,9*S* isomers involving the intramolecular hydrogen bond between the hydroxy group and the nitrogen lone pair (cf. Fig. 2). Experimental proof comes from the proton NMR spectra of **2a–4a**: the protons at C-9 and C-10 are positioned in *gauche* fragments [C(11)–C(10)–C(9)–N] and exhibit characteristic H,H coupling constants ($J_{gem} = -11.7$ and -15.8 Hz, respectively; $J_{ax,ax} = 8.6$ Hz, $J_{ax,eq}$ and $J_{eq,eq} = 5.3$ and 4.6 Hz,

respectively) and only H-9(ax) reveals NOE to H-15b. Further NOEs of H-15b to H-9 and H-10 could not be detected, but the NOEs of H-15b to H-9(ax) and of H-12 to the two H-10 protons prove the unequivocal assignment of H-9(ax). Moreover, $\delta(\text{OH})$ was found at 11–12 ppm (broadened at room temperature), which is characteristic for intramolecular hydrogen-bonding, as computed.

With respect to the conformations of the two methoxy groups in **1–4**, both in-plane (0°) and out-of plane conformations (90°) were obtained, which increased the number of conformers for the various diastereomers; in each case, however, the in-plane/in-plane conformers⁴¹ were found to be the most stable and were used as basis structures for the forthcoming anisotropy study.

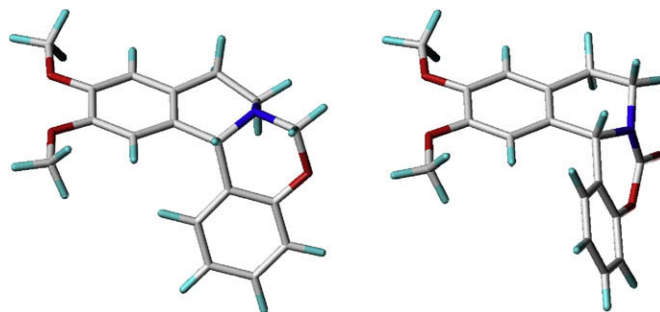


Figure 1. Minimum-energy structures of **2b** (left), a twisted chair conformer, and **2c** (right), a twisted-boat conformation, as obtained at the B3LYP/6-31G* level of theory.

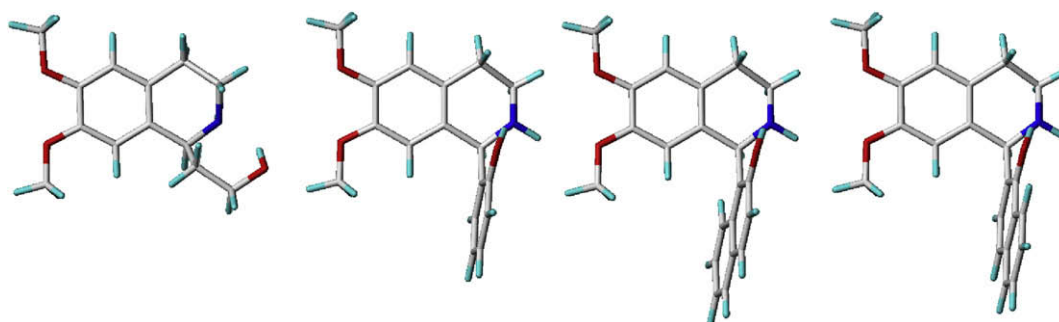


Figure 2. Minimum-energy structures of **1a–4a** (from left to right), as obtained at the B3LYP/6-31G⁺ level of theory.

2.2. ¹H chemical shifts

The protons in **1–4** whose chemical shifts are compared in the following study are the isoquinoline protons H-15 and H-12 and the methoxy protons 13-OMe and 14-OMe. The chemical shifts of these ring and methyl protons are given in Table 1 together with the computed values and the data are correlated with each other (cf. Fig. 3). The agreement between the theoretically calculated and experimental values is excellent [$\delta_{\text{exp.}} = 1.1347\delta_{\text{calcd}} - 0.2394$ ($R^2 = 0.9974$)], particularly with regard to the fact that the theoretical δ values were computed for molecules in the gas phase, but those measured experimentally^{36,37,42} related to solution. Besides the additional fact that the ring interconversion of **1–4** at room temperature is fast on the NMR time-scale, the theoretically calculated geometries of these isoquinoline derivatives obviously dominate over the magnetic properties and the medium proves to be of only minor influence. Furthermore, this agreement between the computed and experimental ¹H chemical shifts strongly support the geometries calculated for the molecules.

The strongest variation of the proton resonances in the ¹H NMR spectra of **1–4** was observed for H-15b ($\Delta\delta = 1.00$ ppm), followed by

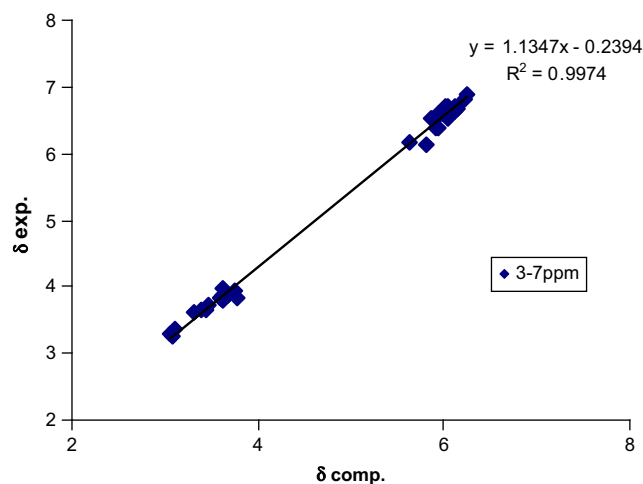


Figure 3. Correlation of the experimental chemical shifts of H-12, the 13-OMe, and 14-OMe protons and H-15 in **1a–c** to **4a–c** versus the computed δ values.

Table 1

Experimental chemical shifts (δ) of H-12, H-15, 12-OMe, and 14-OMe, chemical shift differences $\Delta\delta$ of these protons in **1a–c** with respect to **2a–c**, **3a–c**, and **4a–c**, respectively, and the corresponding anisotropic effects/ppm of the aromatic moieties in **2–4** on these protons

	1a	$\Delta\delta$ /ppm	Anisotropic effect/ppm	Steric compression	1b	$\Delta\delta$ /ppm	Anisotropic effect/ppm	Steric compression	1c	$\Delta\delta$ /ppm	Anisotropic effect/ppm	Steric compression
	δ /ppm				δ /ppm				δ /ppm			
H-12	6.57	-	-		6.61	-	-		6.64	-	-	
13-OMe	3.85*	-	-		3.85*	-	-		3.87*	-	-	
14-OMe	3.83*	-	-		3.84*	-	-		3.86*	-	-	
H-15	6.53	-	-		6.52	-	-		6.60	-	-	
2a					2b				2c			
H-12	6.61	+0.04	-0.03		6.65	+0.04	-0.02		6.70	+0.06	-0.04	
13-OMe	3.85	-	+0.01		3.86	+0.01	-0.02		3.87	-	+0.01	
14-OMe	3.66	-0.17	+0.33	+0.16	3.94	+0.10	-0.09		3.74	-0.12	+0.20	+0.08
H-15	6.37	-0.16	+0.76	+0.60	6.80	+0.28	-0.32		6.59	-0.01	+0.37	+0.36
3a					3b				3c			
H-12	6.70	+0.13	-0.07		6.67	+0.06	-0.09		6.72	+0.08	-0.08	
13-OMe	3.80	-0.05	+0.01		3.86	+0.01	-0.01		3.85	+0.02	+0.01	
14-OMe	3.26	-0.57	+0.66	+0.09	3.35	-0.49	+0.59	+0.10	3.30	-0.56	+0.68	+0.12
H-15	6.14	-0.39	+0.95	+0.56	6.52	-	+0.71	+0.71	6.18	-0.41	+0.76	+0.35
4a					4b				4c			
H-12	6.62	+0.05	-0.06		6.66	+0.05	-0.04		6.70	+0.04	-0.06	
13-OMe	3.84	+0.01	0.01		3.87	+0.02	-0.03		3.86	-0.01	+0.01	
14-OMe	3.61	-0.22	+0.39	+0.17	3.98	+0.14	-0.14		3.67	-0.19	+0.22	+0.03
H-15	6.40	-0.13	+0.71	+0.59	6.90	+0.38	-0.43		6.61	+0.01	+0.30	+0.31

*Or reversed.

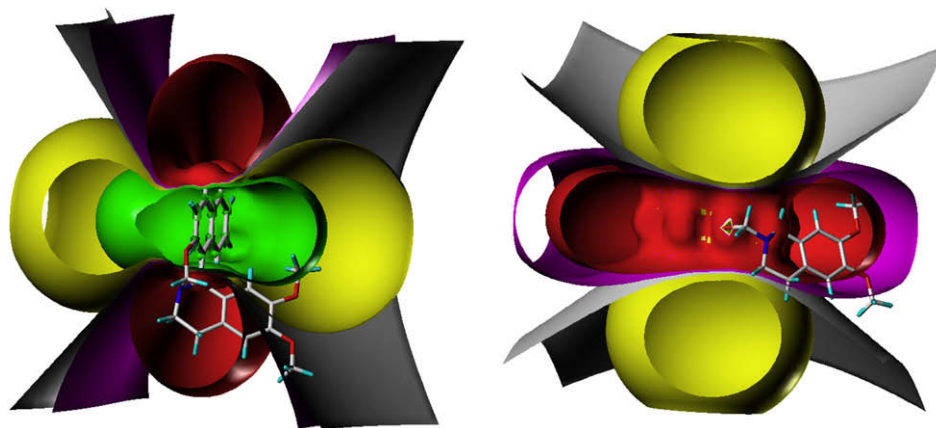


Figure 4. Visualization of the TSNMRSs (ICSSs: blue represents 5 ppm shielding, cyan 2 ppm shielding, green-blue 1 ppm shielding, green 0.5 ppm shielding, yellow 0.1 ppm shielding, red -0.1 ppm deshielding and magenta -0.01 ppm deshielding) of the minimum-energy structures of **3b** (left) and **4b** (right).

H-15 (0.58 ppm) and 14-OMe (0.72 ppm); smaller changes were observed for H-12 (0.15 ppm) and 13-OMe (0.07 ppm). Especially the broad range of chemical shifts of the 14-OMe protons in these isoquinoline derivatives encouraged us to study this phenomenon in more detail. While H-15b is too proximal³ to the aromatic moieties in **2–4** to be included on the anisotropy study (vide infra), the other four should be suitable; further, H-15b and 14-OMe should be proximal enough to be additionally influenced by steric compression and a competition can be expected. A detailed study of this is another topic of this paper.

2.3. Anisotropic effects of aromatic moieties in **2–4** on the ¹H chemical shifts of the isoquinoline protons

TSNMRs for **2–4** were calculated as described above, and can be easily visualized by different ICSSs.³ Figure 4 depicts the corresponding illustrations for **3b** and **4b**; similar representations for the other compounds studied (**2–4**) can readily be drawn. These two compounds were selected for presentation because of the different signs of the anisotropic effects of the α -naphthyl moieties in the two compounds on the chemical shifts of 14-OMe and H-15. In **3b**, both the 14-OMe protons and H-15 are positioned in the shielding ICSS $+0.5$ ppm (green) [cf. Table 1: anisotropic effect $\Delta\delta$ (14-OMe) $=0.59$ ppm; $\Delta\delta$ (H-15) $=0.71$ ppm], while in **4b** the corresponding protons are no longer shielded by the anisotropic effect of α -naphthyl, but are deshielded [cf. Table 1: anisotropic effect $\Delta\delta$ (14-OMe) $=-0.14$ ppm; $\Delta\delta$ (H-15) $=-0.43$ ppm], due to the position in the deshielding belt (red) of this aromatic moiety. In this way, all anisotropic effects on the certain protons were taken from the corresponding TSNMRS grids and are given in Table 1 together with the experimental δ values and the differences in the δ values ($\Delta\delta$) of the isoquinoline protons H-12, 13-OMe, 14-OMe and H-15 in **1a–c** and **2a–c**, **3a–c** and **4a–c**, respectively.

A critical comparison of the experimental chemical shift differences $\Delta\delta$ with the anisotropic effect thus obtained appears equivocal. If only this anisotropic effect is responsible for the experimental $\Delta\delta$ values a complete (within the margin of error of the DFT study) agreement between the pairs of values can be expected. However, this is not generally the case: it depends on the proton inspected.

H-12: The anisotropic effect on H-12 was found to be minor and generally in the low field direction ($\Delta\delta = -0.02$ to -0.09 ppm), in total agreement with the sign of the experimental $\Delta\delta$ ($=0.04$ – 0.13 ppm). Additionally, the relative size of $\Delta\delta$ and the anisotropic effect proved consistent: the strongest effects were observed in **3** [$\Delta\delta = 0.06$ – 0.13 ppm; anisotropic effect $= -0.07$ to -0.09 ppm] and the smallest ones in **2** [$\Delta\delta = 0.04$ – 0.06 ppm; anisotropic

effect $= -0.02$ to -0.04 ppm]. Obviously, H-12 is excellently suited to reveal quantitatively the anisotropic effect of the aromatic moiety in **2–4** on the isoquinoline moieties.

13-OMe: These methoxy groups in **2–4** are turned away from the aromatic moieties, which cause the anisotropic substituent effects; therefore, the effect is almost negligible. However, even though small, both the experimental $\Delta\delta$ values and the anisotropic effects are generally parallel in sign and size [$\Delta\delta = -0.05$ to 0.02 ppm; anisotropic effect $= 0.01$ to -0.03 ppm].

14-OMe: In contrast, 14-OMe in **2–4** are turned toward the aromatic moieties and show the strongest effects of all [$\Delta\delta_{\text{exp.}} = -0.57$ to 0.14 ppm; anisotropic effect $= 0.68$ to -0.14 ppm]. These chemical shift ranges are comparable and generally point in the same direction, but the anisotropic effects are up to 0.17 ppm smaller than the experimental $\Delta\delta$ values (cf. Table 1). There must be an additional influence on the proton chemical shifts of 14-OMe, which was identified to be the steric compression⁴³ of these protons subject to the proximal aromatic moieties in **2–4** (vide infra). However, in spite of this peculiarity, the anisotropic effects of the aromatic moieties in **2–4** on δ (14-OMe) are correctly (in direction to high or low field, but reduced in size) indicated by the experimental $\Delta\delta$ values and prove that not only H-12 and 13-OMe, but also 14-OMe is a useful indication of the anisotropic substituent influences in **2–4**.

H-15: For H-15, which are positioned nearest to the aromatic moieties in **2–4**, (as expected and inherent) the largest anisotropic effects were computed (-0.32 to 0.95 ppm). The experimental $\Delta\delta$ values, however, follow the sequences even less than the $\Delta\delta$ values of the 14-OMe protons, for the same reason as discussed previously (vide supra).

When the experimental $\Delta\delta$ values are compared with the corresponding anisotropic effects in **2–4**, an agreement in signs (to high/low field) of the two parameters is found, but the extents of the experimental deshielding (up to 0.38 ppm) and shielding $\Delta\delta$ values (-0.01 to -0.41 ppm) are smaller than the corresponding deshielding (-0.32 to -0.43 ppm) and shielding anisotropic effects (0.30 – 0.95 ppm) (cf. Table 1). This is in agreement with the effects observed for the chemical shift of the 14-OMe protons (vide supra) and points to the competing activity of steric compression on the chemical shifts of H-15. In the latter case, the competition will be studied in some detail.

(i) First, the experimental $\Delta\delta$ values were subtracted from the anisotropic effect computed (cf. Table 1; values in magenta). These differences, which should arise mainly from steric compression, are distinct for the various types of compounds studied. In **2c**, **3c**, and **4c**, they are 0.31 – 0.36 ppm, in **2a**, **3a**, and **4a** they are 0.56 – 0.60 ppm and in **2b**, **3b**, and **4b** they are even equivocal:

there are no such effects in **2b** and **4c**, but the strongest effect is observed in **3b**.

(ii) In search for the origin of these results, the geometries of **2–4** were carefully studied. Robust references to differences in steric compression could readily be found, e.g., the bond lengths $r_{C(15)-H}$ should parallel the steric hindrance, the shorter $r_{C(15)-H}$:

Compound	2c, 3c, 4c	2a, 3a, 4a	2b, 4b	3b
$r_{C(15)-H}$	–0.001 to –0.002 Å	–0.002 Å	±0	–0.004 Å
Steric compression	0.31 to 0.36 ppm	0.56 to 0.60 ppm	0.04 ppm	0.71 ppm

The sequence of bond length shortening is in line with the increasing steric compression in these structural fragments: **3b** \gg **2a, 3a, 4a** $>$ **2c, 3c, 4c** $>$ **2b, 4b**. The strongest shortening of $r_{C(15)-H}$ is observed for **3b**, with almost no effect in the analogs **2b** and **4b**. If the bond angles $\angle_{C(15a)-C(15)-H(15)}$ and $\angle_{H(15)-C(15)-C(14)}$ are compared, which are similarly influenced by steric compression, the same activity is considered: $\angle_{C(15a)-C(15)-H(15)}$ is widened and $\angle_{H(15)-C(15)-C(14)}$ is diminished.

Compound	2b, 4b	3b
$\angle_{C(15a)-C(15)-H(15)}$	118.82–118.83°	118.93°
$\angle_{H(15)-C(15)-C(14)}$	119.60–119.62°	118.93°

To illustrate the difference in steric compression, the energy-minimum structures of **3b** and **4b** are compared in Figure 5; the hard steric compression in **3b**, but the strainless position of H-15 (in magenta) in **4b** is immediately visible, leading to the conclusions drawn from the geometry of the compounds studied.

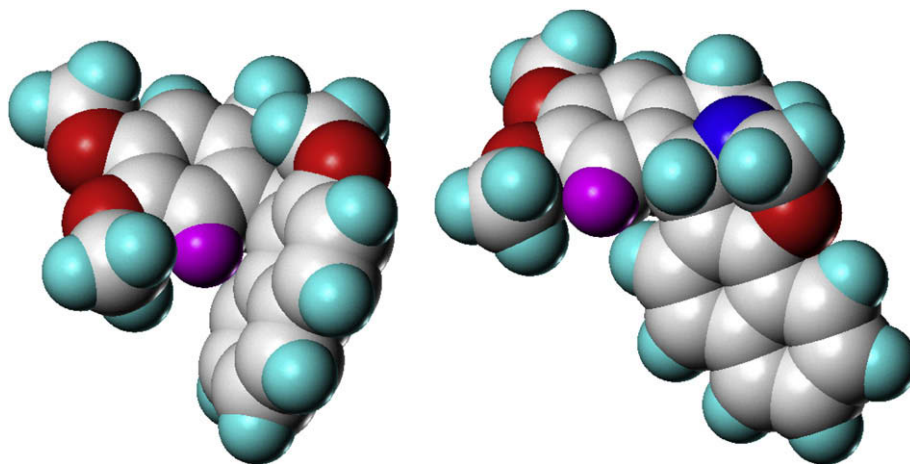


Figure 5. Minimum-energy structures of **3b** (left) and **4b** (right) as obtained at the B3LYP/6-31G* level of theory.

The same conclusion can be drawn for the other compounds from the changes in geometry (cf. Supplementary data).

3. Conclusions

For the series of 1,3-oxazino[4,3-*a*]isoquinolines **1–4**, the minimum-energy isomers/conformers were determined by NMR spectroscopy and theoretical calculations at the DFT level of theory. On the basis of these structures, the anisotropic effects of the aromatic moieties (phenyl in **2**, α -naphthyl in **3** and β -naphthyl in **4**) on the isoquinoline protons H-12 and H-15 and the methoxy protons 13-OMe and 14-OMe were computed via TSNMRS grids around the aromatic substituents. While H-12 and 13-OMe proved to be excellent indicators of the anisotropic effects in **2–4**, the values for H-15 and 14-OMe in these compounds were found to be smaller than the experimental $\Delta\delta$ values. As the reason for the differences between $\Delta\delta$ and the anisotropic effects of the aromatic moieties, steric compression⁴³

was identified, in agreement with the parallel structural changes of the geometries.

This approach for the quantitative separation of the anisotropic effects and the steric compression of the functional groups on the ¹H chemical shifts allowed a re-appraisal of some assertions in NMR textbooks (e.g., deshielding by 1.57 ppm of H-4 in 11-ethynylphenanthrene relative to its counterpart in phenanthrene was not found to be due to the anisotropy of the C≡C triple bond)¹⁰ and the identification of a steric strain in a highly congested hydrocarbons,¹⁹ and can be recommended as a generally applicable method.

4. Experimental

4.1. General

Melting points were determined on a Kofler micro melting apparatus and are uncorrected. Merck Kieselgel 60F₂₅₄ plates were used for TLC.

¹H and ¹³C NMR spectra were recorded in CDCl₃ (unless specified as CD₂Cl₂ or DMSO-*d*₆) solution in 5 mm tubes, at room temperature, on a 500 MHz NMR spectrometer at 500.17 (¹H) and 125.78 (¹³C) MHz, with the deuterium signal of the solvent as the lock and TMS as the internal standard for ¹H, or the solvent as the internal standard for ¹³C. All spectra (¹H, ¹³C, gs-H,H-COSY, gs-HMQC, gs-1D-HMQC, gs-HMBC and NOESY) were acquired and processed with the standard software.

The quantum-chemical calculations were carried out with the Gaussian 03 program package.⁴⁴ Geometry optimizations were performed at the B3LYP/6-31G* level of theory⁴⁵ without restrictions. For **1–4**, the four 9,15b diastereomers were energy-optimized with respect to the preferred conformers and their relative energies were calculated; the predominant conformer of the most stable diastereomer was considered further.

The spatial magnetic properties (TSNMRS) were constructed,³ using the NICS concept; the NICS values² were computed on the basis of the B3LYP/6-31G* geometries of **1–4** via the GIAO method^{46,47} at the HF/6-31G* level of theory.⁴⁸ To calculate spatial NICS, ghost atoms were placed on a lattice of –10 Å to +10 Å with a step size of 0.5 Å in the three directions of the Cartesian coordinate system. The resulting 68,921 NICS values thus obtained were analyzed and visualized by means of the SYBYL 7.3 molecular modeling software;⁴⁹ different ICSSs of –0.01 ppm (magenta) and –0.1 ppm (red) deshielding, and 5 ppm (blue), 2 ppm (cyan), 1 ppm (green-blue), 0.5 ppm (green), and 0.1 ppm (yellow)

shielding are used to visualize the TSNMRS of **3b** and **4b** in Figure 4.

NMR chemical shifts were calculated by the GIAO method^{46,47} at the B3LYP/6-31G* level of theory (the reference compound TMS was calculated at the same level). All calculations were carried out on SGI workstations and LINUX clusters.

The synthesis of naphth[1,2-*e*]- (**3b,c**) and naphth[2,1-*e*]-[1,3]oxazino[4,3-*a*]isoquinolines (**4b,c**), and the preparation of 1-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)-2-naphthol (**3a**) and 2-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)-1-naphthol (**4a**) were reported previously.³⁷ 2-(6,7-Dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)ethanol (**1a**) and 2-(6,7-dimethoxy-1,2,3,4-tetrahydroisoquinolin-1-yl)phenol (**2a**) were synthesized according to literature procedures.^{38,39}

4.2. 9,10-Dimethoxy-1,6,7,11b-tetrahydro-2H,4H-1,3-oxazino[4,3-*a*]isoquinoline (**1b**)

To a solution of amino alcohol **1a** (0.71 g, 3 mmol) in MeOH (10 mL), 36% formaldehyde solution (0.5 mL) was added. The mixture was allowed to stand at room temperature for 1 h, then poured into H₂O (50 mL) and extracted with CH₂Cl₂ (3 × 25 mL). The combined organic extracts were dried (Na₂SO₄) and evaporated. The oily product crystallized on treatment with Et₂O. The crystals were filtered off and recrystallized from *i*-Pr₂O. Yield: 0.61 g (82%), mp 102–103 °C.

4.3. 9,10-Dimethoxy-1,6,7,11b-tetrahydro-2H,4H-1,3-oxazino[4,3-*a*]isoquinolin-4-one (**1c**)

To a stirred mixture of amino alcohol **1a** (1.19 g, 5 mmol), toluene (30 mL), NaHCO₃ (0.63 g, 7.5 mmol), and H₂O (30 mL), ethyl chloroformate (0.60 g, 5.5 mmol) was added and the mixture was stirred at room temperature for 1 h. The organic layer was separated and the aqueous layer was extracted with EtOAc (3 × 30 mL). The combined extracts were dried (Na₂SO₄) and evaporated to yield 1.50 g (97%) of ethyl 1-(2'-hydroxyethyl)-6,7-dimethoxy-1,2,3,4-tetrahydroisoquinoline-2-carboxylate as an oily product, which was used in the next step without further purification.

The previous urethane derivative (1.00 g, 3.2 mmol) was thoroughly mixed with NaOMe (0.18 g, 3.3 mmol) and the mixture was kept under N₂ at 130 °C for 45 min. The melt was extracted with hot EtOAc (5 × 30 mL), and the combined organic phases were washed with 5% HCl (2 × 30 mL) and H₂O (2 × 30 mL), dried (Na₂SO₄), and evaporated. The oily residue crystallized on treatment with Et₂O. The crystals were filtered off and recrystallized from *i*-Pr₂O. Yield: 0.44 g (52%), mp 119–121 °C.

4.4. 11,12-Dimethoxy-8,9-dihydro-6H,13bH-isoquino[2,1-*c*]-[1,3]benzoxazine (**2b**)

To a stirred suspension of amino phenol **2a** (1.14 g, 4 mmol) in EtOH (10 mL) 36% formaldehyde solution (10 mL) was added and the mixture was stirred at room temperature for 30 min. The crystalline product was filtered off, washed with H₂O and recrystallized from EtOAc. Yield: 0.79 g (66%), mp: 213–216 °C.

4.5. 11,12-Dimethoxy-8,9-dihydro-6H,13bH-isoquino[2,1-*c*]-[1,3]benzoxazin-6-one (**2c**)

To a mixture of amino phenol **2a** (1.14 g, 4 mmol), Et₃N (0.81 g, 8 mmol), and dry toluene (20 mL), a 20% solution of phosgene in toluene (2.0 mL, 4 mmol) was added and the suspension was stirred at room temperature for 2 h. EtOAc (100 mL) was added and the organic phase was washed with 5% HCl (2 × 30 mL) and then with H₂O (2 × 30 mL). The organic phase was dried (Na₂SO₄) and

evaporated, resulting in an oil, which became crystalline on treatment with Et₂O. The crystals were filtered off and recrystallized from EtOH. Yield: 0.67 g (54%), mp: 189–190 °C.

Supplementary data

Absolute energies, bond angles and bond distances, and *x,y,z*-coordinates at the B3LYP/6-31G* level of theory for **1–4**. Supplementary data associated with this article can be found in the online version, at doi:10.1016/j.tet.2009.07.038.

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- 2c**, as in **4c**, a short distance between H-15b and H-9(*syn*) corroborates the experimentally found NOE enhancement.
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